

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0462710  
Alison Lundergan Grimes  
KY Secretary of State  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** CITIGROUP GLOBAL MARKETS, INC.  
**Company ID:** 0462710  
**State of origin:** New York  
**Formation date:** 9/28/1998 12:00:00 AM  
**Date filed:** 6/20/2018 1:49:19 PM  
**Fee:** \$15.00

**Principal Office**

388 GREENWICH ST  
NEW YORK, NY 10013

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

Authorized Rep	JULIE SCHMIDT	8800 HIDDEN RIVER PARKWAY, TAMPA, FL 33637
President	LEE WAITE	390 GREENWICH ST, NEW YORK, NY 10013
Secretary	SCOTT L. FLOOD	388 GREENWICH ST, NEW YORK, NY 10013
Treasurer	GONZALO MARTIN	1 COURT SQUARE, LONG ISLAND CITY, NY 11101

**Directors**

Director	LEE WAITE	390 GREENWICH ST, NEW YORK, NY 10013
Director	JOHN CUMMINGS	1 COURT SQUARE, LONG ISLAND CITY, NY 11101
Director	JONATHAN LEACH	153 EAST 53RD ST, NEW YORK, NY 10022
Director	DANIEL KEEGAN	390 GREENWICH ST, NEW YORK, NY 10013
Director	TED YARBROUGH	388 GREENWICH ST, NEW YORK, NY 10013

**Signatures**

<b>Signature</b>	JULIE SCHMIDT
<b>Title</b>	ASSISTANT TAX OFFICER