

Commonwealth of Kentucky  
Elaine N. Walker, Secretary of State

LARP  
0196018  
Elaine N. Walker  
Secretary of State  
Received and Filed  
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Elaine N. Walker  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
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ARP

**Company:** J. J. B. HILLIARD, W. L. LYONS, LLC  
**Company ID:** 0196018  
**State of origin:** Kentucky  
**Formation date:** 11/30/1984 12:00:00 AM  
**Date filed:** 5/26/2011 11:06:34 AM  
**Fee:** \$15.00

**Principal Office**

500 W JEFFERSON STREET, SUITE 700  
LOUISVILLE, KY 40202

**Registered Agent Name/Address**

CSC-LAWYERS INCORPORATING SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Members/Managers**

Manager	James R Allen	500 W. Jefferson Street	Louisville, KY 40202
Manager	James M Rogers	500 W. Jefferson Street	Louisville, KY 40202
Manager	Carmella M Miller	500 W. Jefferson Street	Louisville, KY 40202
Manager	John R Bugh	500 W. Jefferson Street	Louisville, KY 40202
Manager	Darryl Metzger	500 W. Jefferson Street	Louisville, KY 40202
Manager	Charles M Grimley	500 W. Jefferson Street	Louisville, KY 40202

**Signatures**

<b>Signature</b>	Charles M Grimley
<b>Title</b>	Executive Vice President & CFO