

0944120

Michael G. Adams  
KY Secretary of StateReceived and Filed  
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# Commonwealth of Kentucky

## Michael G. Adams, Secretary of State

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

### Annual Report Online Filing

ARP

**Company:** UMPQUA INVESTMENTS, INC  
**Company ID:** 0944120  
**State of origin:** Oregon  
**Formation date:** 2/12/2016 12:00:00 AM  
**Date filed:** 6/12/2020 3:55:38 PM  
**Fee:** \$15.00

#### Principal Office

ONE SW COLUMBIA ST. SUITE 300  
PORTLAND, OR 97258

#### Registered Agent Name/Address

CT CORPORATION SYSTEM  
306 W. MAIN STREET, SUITE 512  
FRANKFORT, KY 40601

#### Current Officers

COO	Jeani Winterbourne	One SW Columbia St. Suite 300 Portland Oregon 97258
President	Jeani Winterbourne	One SW Columbia St. Suite 300 Portland Oregon 97258
President	John Eric Field	One SW Columbia St. Suite 300 Portland Oregon 97258

#### Directors

Director	Jeani Winterbourne	One SW Columbia St. Suite 300 Portland Oregon 97258
Director	Torran Blake Nixon	One SW Columbia St. Suite 300 Portland Oregon 97258
Director	Kent Allen Grubaugh	One SW Columbia St. Suite 300 Portland Oregon 97258
Director	John Eric Field	One SW Columbia St. Suite 300 Portland Oregon 97258

#### Signatures

<b>Signature</b>	Kelly Lettmann
<b>Title</b>	Power of Attorney