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Alison Lundergan Grimes

KY Secretary of State

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# Commonwealth of Kentucky

## Alison Lundergan Grimes, Secretary of State

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

### Annual Report Online Filing

ARP

**Company:** BENJAMIN F. EDWARDS & COMPANY, INC.  
**Company ID:** 0736432  
**State of origin:** Missouri  
**Formation date:** 8/10/2009 12:00:00 AM  
**Date filed:** 6/3/2019 10:27:37 AM  
**Fee:** \$15.00

#### Principal Office

ONE NORTH BRENTWOOD BLVD.  
SUITE 850  
CLAYTON, MO 63105

#### Registered Agent Name/Address

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

#### Current Officers

CFO	Thomas Haden Martin Jr	One North Brentwood, Suite 850, Clayton, MO 63105
COO	Douglas David Rubenstein	One North Brentwood, Suite 850, Clayton, MO 63105
President	Benjamin Franklin Edwards IV	One North Brentwood, Suite 850, Clayton, MO 63105
Secretary	Cynthia Lyn Bohme	One North Brentwood, Suite 850, Clayton, MO 63105
Executive	Edgar Allen Cole II	One North Brentwood, Suite 850, Clayton, MO 63105

#### Directors

Director	Benjamin Franklin Edwards IV	One North Brentwood, Suite 850, Clayton, MO 63105
Director	Cynthia Lyn Bohme	One North Brentwood, Suite 850, Clayton, MO 63105
Director	Thomas Hayden Martin Jr	One North Brentwood, Suite 850, Clayton, MO 63105
Director	Edgar Allen Cole II	One North Brentwood, Suite 850, Clayton, MO 63105
Director	Douglas David Rubenstein	One North Brentwood, Suite 850, Clayto, MO 63105

Business size:	Large
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

#### Signatures

<b>Signature</b>	Cynthia L. Bohme
<b>Title</b>	Secretary