

Commonwealth of Kentucky
Trey Grayson, Secretary of State

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Trey Grayson
Secretary of State
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Trey Grayson
Secretary of State
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Annual Report
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ARP

Company: MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED
Company ID: 0110670
State of origin: Delaware
Formation date: 1/2/1959 12:00:00 AM
Date filed: 4/29/2010 3:20:02 PM
Fee: \$15.00

Principal Office

ATTN: CORPORATE SECRETARY
222 BROADWAY 17TH FLOOR
NEW YORK, NY 10038

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W MAIN ST
SUITE 512
FRANKFORT, KY 40601

Current Officers

CEO	Thomas K Montag	401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255
CEO	Sallie L Krawcheck	401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255
CFO	Bob Qutub	401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255
Secretary	Gale K Chang	401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255
Vice President	Donna DeSouza	401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255

Directors

Director	Thomas K Montag	401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255
Director	SALLIE L KRAWCHECK	401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255
Director	BRUCE R THOMPSON	401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255

Signatures

Signature	DONNA DeSOUZA
Title	SVP