

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0110670  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
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ARP

**Company:** MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED  
**Company ID:** 0110670  
**State of origin:** Delaware  
**Formation date:** 1/2/1959 12:00:00 AM  
**Date filed:** 5/17/2013 4:47:44 AM  
**Fee:** \$15.00

**Principal Office**

401 N TRYON ST; NC1-021-02-20

CHARLOTTE, NC 28255

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

CEO	Thomas K Montag	150 N COLLEGE ST; NC1-028-17-06, CHARLOTTE NC 28255
CEO	DAVID C DARNELL	150 N COLLEGE ST; NC1-028-17-06, CHARLOTTE NC 28255
Secretary	Eric R Billings	150 N COLLEGE ST; NC1-028-17-06, CHARLOTTE NC 28255
Treasurer	RICHARD S SEITZ	150 N COLLEGE ST; NC1-028-17-06, CHARLOTTE NC 28255
Vice President	ERIK MILLER	150 N COLLEGE ST; NC1-028-17-06, CHARLOTTE NC 28255

**Directors**

Director	Thomas K Montag	150 N COLLEGE ST; NC1-028-17-06, CHARLOTTE NC 28255
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**Signatures**

Signature	ERIK MILLER
Title	SVP