

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0714379  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/16/2015 10:11:09 AM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** SECURITIES AMERICA ADVISORS, INC.  
**Company ID:** 0714379  
**State of origin:** Nebraska  
**Formation date:** 9/26/2008 12:00:00 AM  
**Date filed:** 6/16/2015 10:11:09 AM  
**Fee:** \$15.00

**Principal Office**

12325 PORT GRACE BLVD.  
LA VISTA, NE 68128

**Registered Agent Name/Address**

CORPORATE CREATIONS NETWORK INC.  
101 NORTH SEVENTH STREET  
LOUISVILLE, KY 40202

**Current Officers**

President	JAMES D NAGENGAST	12325 Port Grace Blvd., La Vista, NE 68128
Secretary	KEVIN J MILLER, EVP/General Counsel	12325 Port Grace Blvd., La Vista, NE 68128
Treasurer	DAVID VAUGHAN JR	12325 Port Grace Blvd., La Vista, NE 68128

**Directors**

Director	P. JANINE WERTHEIM	12325 Port Grace Blvd., La Vista, NE 68128
Director	KIRK HULETT	12325 Port Grace Blvd., La Vista, NE 68128
Director	JAMES M HEENEY	12325 Port Grace Blvd., La Vista, NE 68128

**Signatures**

<b>Signature</b>	Angela Martin
<b>Title</b>	Special Secretary