Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

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Alison Lunder Secretary P. O. Bo Frankfort, KY (502) 56 http://www.s	of State 0x 1150 40602-1150 4-3490	Annual Report Online Filing	ARP
Company: Company ID: State of origin: Formation date: Date filed: Fee:	CETERA ADV 0199892 Delaware 4/1/1985 12:0 6/19/2017 2:5 \$15.00	00:00 AM	
Principal Office		TED WE STA	
SUITE 600 DENVER, CO 80	RACUSE STREET 237 nt Name/Address		
C T CORPORATI 306 W MAIN ST SUITE 512 FRANKFORT, KY	ION SYSTEM		CK
Members/Manag	jers		
Manager Manager Manager	ADAM ANTONIADES ERINN FORD BRETT L HARRISON	200 N SEPULVEDA BLVD., STE 12 4600 S SYRACUSE ST., STE 600, 4600 S SYRACUSE ST., STE 600,	DENVER, CO 80237
Business size: Business type:	Large Security &	Commodity Brokers, Dealers, Exchange	es & Services
Signatures			
Signature Title	GREG OLSO ASSISTANT S		