

10/15/2018  
0199892

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

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Alison Lundergan Grimes  
KY Secretary of State  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 718  
Frankfort, KY 40602-0718  
(502) 564-3490  
<http://www.sos.ky.gov>

Statement of Change of  
Registered Office, Registered  
Agent, or Both

RAC

Pursuant to the provisions of KRS chapters 271B, 273, 275, or 362, the undersigned hereby applies to change the registered office, registered agent, or both on behalf of

**CETERA ADVISORS LLC**

which is organized in the state of Delaware, and for that purpose submits the following statements:

**1. Name of current registered agent**

C T CORPORATION SYSTEM

**2. Registered agent is hereby changed to:**

Corporation Service Company

**3. Address of current registered office**

306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**4. Registered office is hereby changed to:**

421 West Main Street  
Frankfort, KY 40601

**5. Signature of officer or chairman of the board**

Jill Cilmi, Authorized Person  
Signature and Title

Type or print name and title

10/15/2018 2:45 PM  
Date

**6. Consent of new agent**

I consent to serve as the new registered agent on behalf of this corporation.

Corporation Service Company  
Signature and Title

Type or print name and title