

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

LARP

0199892  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/17/2019 11:30:52 AM  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** CETERA ADVISORS LLC  
**Company ID:** 0199892  
**State of origin:** Delaware  
**Formation date:** 4/1/1985 12:00:00 AM  
**Date filed:** 6/17/2019 11:30:52 AM  
**Fee:** \$15.00

**Principal Office**

4600 SOUTH SYRACUSE STREET  
SUITE 600  
DENVER, CO 80237

**Registered Agent Name/Address**

CORPORATION SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Members/Managers**

Manager	BRETT L HARRISON	4600 S SYRACUSE ST., STE 600, DENVER, CO 80237
Manager	JOSEPH NEARY	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245

Business size:	Small
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

**Signatures**

<b>Signature</b>	MARK SHELSON
<b>Title</b>	TREASURER