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COMMONWEALTH OF KENTUCKY  
JOHN Y. BROWN III, SECRETARY OF STATE  
ANNUAL REPORT

RECORD # 0151803

DUE JUNE 30, 2001

(4) FILING FEE

\$15.00

(1) EXACT CORPORATE NAME AND CURRENT PRINCIPAL OFFICE ADDRESS

PRUDENTIAL SECURITIES INCORPORATED  
% C T CORP. SYSTEM  
100 W. 10TH ST.  
WILMINGTON, DE 19801

(5) STATE OR COUNTRY OF INCORPORATION

DE

(2) THE PRINCIPAL OFFICE ADDRESS IS HEREBY CHANGED TO

[Empty box for principal office address change]

(6) DATE OF INCORPORATION OR DATE AUTHORIZED TO TRANSACT BUSINESS

12/15/1981

(3) CURRENT REGISTERED AGENT AND REGISTERED OFFICE ADDRESS

Changes made to the registered agent or registered office cannot be made on this form. Complete (7) to request a form to be mailed or download form from web site

C T CORPORATION SYSTEM  
KENTUCKY HOME LIFE BLDG  
LOUISVILLE, KY 40202

(7) MAIL A STATEMENT OF CHANGE OF AGENT OR OFFICE TO

RECEIVED

JUN 22 2001

SECRETARY OF STATE  
COMMONWEALTH OF KY

(8) PRINCIPAL OFFICERS If the corporation has previously filed an annual report, verify the names & titles of officers listed below. Please note any additions to or changes in the principal officers and give the address for each person listed. If (8) is blank, type or print the names & business addresses of the current principal officers. If sole officer, please note.

President	<del>Hardwick Simmons</del>	James D. Price	One Seaport Plaza New York, N.Y. 10292
Vice President	<del>A Laurence Norton Jr</del>	William H. Anderson	One Seaport Plaza New York, N.Y. 10292
Secretary	<del>Lee B Spencer Jr</del>	Judith Y. Vance	One Seaport Plaza New York, N.Y. 10292
Treasurer	Robert Troiano Jr		One Seaport Plaza New York, N.Y. 10292
Chief Exec. Officer	John R. Strangfeld, Jr.		One Seaport Plaza New York, N.Y. 10292
Chairman	Continued on attached schedule...		

(9) DIRECTORS Type or print the names and business addresses of the corporation's directors. No listing of directors is verification that the corporation has dispensed with directors. Nonprofit corporations must list three (3) or more directors.

Name	John M. Liftin	Address	751 Broad St. Newark, New Jersey
Name	Stephen P. Massey	Address	9 Devonshire Square London, England
Name	David R. Odenath	Address	100 Mulberry St. Newark, N.J. 07102
Name	Michael J. Shea	Address	One New York Plaza New York, N.Y. 10292

I VERIFY THAT INFORMATION IN THIS ANNUAL REPORT IS CURRENT AS OF THE DATE THIS REPORT IS EXECUTED.

*Kathleen P. Maguire*

TITLE

Assistant Secretary

DATED

June 12, 2001

Kathleen P. Maguire, Secretary of the Board

Prudential Securities Incorporated  
June, 2001

DIRECTORS:

William H. Anderson  
Executive Vice President  
Chief Administrative Officer

199 Water Street  
One Seaport Plaza  
New York, N.Y. 10292-0134

John M. Liftin

751 Broad St.  
Newark, N.J. 07102

Stephen L. Massey  
Executive Vice President

9 Devonshire Square  
London, England

David R. Odenath

100 Mulberry St.  
Newark, N. J. 07102

James D. Price  
President

199 Water Street  
One Seaport Plaza  
New York, N.Y. 10292-0134

Michael J. Shea  
Executive Vice President

One New York Plaza  
New York, N.Y. 10292

John R. Strangfeld, Jr.  
Chief Executive Officer  
Chairman

199 Water Street  
One Seaport Plaza  
New York, N.Y. 10292-0134

Judith Y. Vance  
Executive Vice President  
Secretary

One Seaport Plaza  
199 Water Street  
New York, N.Y. 10292-0133

**CHAIRMAN - CHIEF EXECUTIVE OFFICER**

Strangfeld, Jr. John R.

**PRESIDENT**

Price, James D.

**SECRETARY**

Vance, Judith Y.

**CHIEF ADMINISTRATIVE OFFICER**

Anderson, William H.

**CHIEF FINANCIAL OFFICER/CONTROLLER**

Horan, William J.

**TREASURER**

Troiano, Jr. Robert J.

**COMPLIANCE OFFICER**

Bluher, John H.

**EXECUTIVE VICE PRESIDENTS**

ANDERSON, WILLIAM H.

BROPHY, MARTIN J.

DRESHER, DENNIS J.

FARLEY, JR. THOMAS M.

HORAN, WILLIAM J

KARCICH, JOSEPH F.

LOYKHER, BERTHA [BELLA]

MASSEY, STEPHEN L.

OWENS, THOMAS J.

RICE, MICHAEL J.

SHEA, MICHAEL J.

TRICARICO, JAMES A.

VANCE, JUDITH Y.

**SENIOR VICE PRESIDENT**

ADAMS, LESLIE R.  
ADLER, PAUL  
ALBANO, FRANK J.  
ALOUISA, JOHN G.  
ANDREANA, JEANNE M.  
ANSELMO, ROBERT  
ARNOW, JILL L.  
BABER, CHARLES C.  
BALES, THOMAS T.  
BALL, BRADLEY G.  
BARBIERA, RICHARD A.  
BATES, A. PATRICIA  
BATCHELOR, PHILIP M.  
BAVARO, MARGUERITE  
BENNETT, GARLAND PRIOR  
BINKIEWICZ, GARY S.  
BLUHER, JOHN H.  
BOCCIO, SALVATORE J.  
BOISSIERE, EUGENE A.  
BOLTE, HENRY W.  
BORDONARO, JANICE I.  
BRIGLIA, ANNE  
BRODY, ALAN J.  
BRONGO, MICHAEL P.  
BROOKS, BARBARA J.  
BUCHALTER, DAVID  
BUCHALTER, WILLIAM O.  
BURAK, EUGENE D.  
BURKE, JAMES P.  
CAMPAGNOLI, VINCENT J.  
CARLINO, STEVEN  
CARRAGHER, SUSAN T.  
CAVANAUGH, SUSANNE  
CARROLL, MICHAEL J.  
CASTAGNA, ELIZABETH W.  
CASTELLUCCIO, JOSEPH  
CASTRO, RICHARD H.  
CAVANAUGH, DANIEL  
CEONZO, JOSEPH E.  
CHERVIN, SHELIA  
CHITTIPEDDI, PAOMINI  
CLARKE, WAYNE  
COCO, SALVATORE  
COALE, M. CAROL  
COHEN, DEENA S.  
COHEN, HARVEY I.  
COHEN, SCOTT M.  
COLLETTI, ROBERT F.

COLLINS, KENNETH J.  
COOK, STEPHEN P.  
COX, TIMOTHY J.  
CRAIR, NATHAN  
CRAVEN, ARNE M.  
CROWLEY, KENNETH P.  
CUMMINGS, ROBERTA  
CYTRYN, MORRIS  
DAMROW, DOUGLAS M.  
DE PASQUALE, ANDREW  
DEBELLIS, JOHN A.  
DEGENESTE, HENRY I.  
DE JOHN, JOSEPH DAVID  
DI DOMENICO, RITA M.  
DOWE, JR. RAYMOND G.  
DOWMAN, ROBERT F.  
DRAKE, ROBERT M.  
DRETFUSS, BARBARA .  
DZIEDZIULA, RICHARD F.  
ENRIGHT, MICHAEL P.  
ENTLER, KENNETH  
EPPEL, PETER J.  
ESPOSITO, JOHN  
FALLON, JOHN W.  
FELD, STUART M.  
FENNELL, THOMAS B.  
FERCHAW, LEE A.  
FIDUCIA, BENEDICK A.  
FILDERMAN, ROBERT J.  
FISCHER, ALLYSON G.  
FRANCHELLA, RICHARD J.  
FRANKL, JOHN  
FREDERICKS, STEVEN J.  
FREILICH, WILLIAM H.  
FRENCH, JEFFREY K.  
FUCHS, KENNETH R.  
GABRIEL, JR. CHARLES  
GELIN, INNA  
GEVARTER, JEFFREY  
GIGLIOTTI, ANTHONY L.  
GIRARD, MICHELLE C.  
GOLDENBLUM, WILLIAM J.  
GOSSAGE, ROBERT P.  
GOW-SMITH, NIGEL H  
GREVE, PETER S.  
GRIPPI, JOSEPH T.  
GUPTA, RAJENDRAK  
HANLEY, RICHARD M.

RICHARD, CHARLES T.  
ROBBINS, CAROL E.  
ROCCO, YVONNE F  
ROGAN, ROBERT A.  
ROGERS, ROBERT T.  
ROLLENHAGEN, KRISTOPH J  
ROSASCO, VICTOR P.  
ROTHFUSS, CHARLES W.  
ROY, PATRICIA  
RYAN, JAMES T.  
RYAN, LAWRENCE  
SACK, SUZANNE C.  
SANTUCCI, PAUL C.  
SATLER, DAVID J.  
SAVAGE, DANIEL D.  
SCARPACI, GUY S.  
SCHARF, BARBARA I.  
SCALA, ROBERT W.  
SCHWARTZ, ABRAHAM J.  
SCHWARTZ, ROBERT  
SHIMOJIMA, CHRIS L.  
SHINE, STEPHEN J.  
SMITH, PHILIP T.  
SORKIN, NOAH D.  
STONE-MISHAN, DEBBIE  
STOVALL, ROBERT  
SUAREZ, EUGENIO S.  
SWANKIE, KENNETH R.  
SWANTEK, EDWARD  
TANJI, KENNETH Y.  
TAUB, BRUCE D.  
TEHRANI, PATTY D.  
TERNER, SIMON P.  
THOMAS, ELEANOR L.  
TROIANO, JR. ROBERT J.  
TUDANGER, HARVEY S.  
TULLOCH, ANDREW C.  
TURNER, RICHARD C.  
UMSTEAD, R. SCOTT  
VALENTI, JOHN A.  
VERGNION, GEORGE S.  
VERRA, RUDLOLPH R.  
VINCIGUERRA, LORI  
WACHTEL, LAWRENCE M.  
WALKER, MICHAEL  
WARNER, CAROL F.  
WEAVER, RICHARD L.N.  
WEATHERLY, GREGORY C.  
WEINMAN, ROBERT B.  
WEINREB, STEVEN

WEISS, ELLEN J.  
WILLARD, JEFFREY  
WILSON, JOHN E.  
WRIGHT, TAMARA B.  
WY SOCK, STEPHEN J.  
ZHARVEST, DAVID  
ZIELINSKI, MARK T.

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ALWS, ROGER  
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ANDERSON, TIMOTHY  
AUGARTEN ALAN P.  
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BANKS, ANDREW W.  
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BELLIZZI, MICHAEL J.  
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CARBONARO, JAMES R.  
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CARRICK, KEVIN M.  
CARRO, JANET M.  
CARROLL, JOHN P.  
CASELLA, MICHAEL J.  
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CASSIN, JOHN M.  
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CLIFFTON, JAMES P.  
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COLLINS JR., EVAN R.  
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COOPERMAN, MAXWELL A.  
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CRUZ, LUIS R.  
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CUNNINGHAM, SEAN T.  
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DAVIS, ALICE M.  
DAVIS, MICHAEL  
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DEAN, AARON  
DEBELAK, STANLEY  
DELMASTRO, THERESA  
DEL VECCHIO, JOSEPH A.

KIANOFSKY, RICHARD  
KINDER, STEVEN L.  
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KING, PATRICK G.  
KINGSLEY, GEORGIA R.  
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KRAMER, JILL L.  
KROOT, BARRY A.  
KWAIT-RETTIG, RENEE  
LA CALAMITA, PETER A.  
LA CHAUSSEE, CHARLES  
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LANOTTE, MICHAEL E.  
LARSEN, CHRISTOPHER M.  
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LEE, FRANCINE R.  
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LEE, WAI  
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LEONARDO, MANUEL  
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LEVITHAN, JACK  
LIMMER, ROBERT F.  
LIPPMAN, ANDREW J.  
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LOEB, TERI  
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LOJPERSBERGER, MARGARET K.  
LONGLEY, ELIZABETH A.  
LONGSTRETH, JAMES R.  
LOPEZ, EDWARD R.  
LOPRESTI JR., ANTHONY G.  
LOVERRO, ROBERT A.  
LUKAC, LOUIS P.  
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MACKENZIE, ALLISON

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MASSIE, ADAM S.  
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MILLER, MOISE  
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MOLDOVAN, SUSAN A.  
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MOORE, STEPHEN M.  
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MOUNIER-SCHWARTZ, NANCY  
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MURWILL, MICHAEL A.  
NACHBAR, MARSHALL J.  
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NESTOR, THOMAS J.  
NIELSEN, JAMES E.



SPIER, DAVID M.  
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STEHL, LAWRENCE F.  
STEINMETZ, BENJAMIN W.  
STERN, MIRIAM  
STETTLER, PETER W.  
STONE, BRIAN J  
STUHLSATZ, THOMAS G.  
STYPONIAS, KATHERINE  
SUKARHEV, ROBERT  
SYLVIA, WILLIAM P.  
TABACCO, JOHN A.  
TABBET, MARK A.  
TALLEN, JAMES M.  
TALLO, ELLEN J.  
TARABAN, OREST N.  
TEICHMAN, SIDNEY  
TELLADO, VALENTIN J.  
THEURER JR., HAROLD  
THIVES, THOMAS J.  
THOMAS, V. EGAN  
THOMPSON, GEORGE  
THOMPSON, PAUL J.  
TILLOTSON, DANIEL C.  
TISCHLER, JAY M.  
TOLBERT, STEPHEN A.  
TOLCHINSKY, ZEV  
TONG, CHRISTINE  
TREZZA, LEWIS J.  
TROCCOLI, GREGORY J.  
TRONE, DAVID  
TUMMINIA, MARGARET  
TUROFF, HOWARD J.  
TURPIN, TIMOTHY V.  
TURITS, MICHAEL  
VANCE, WILLIAM  
VAN DEN HOGEN, FREDERIK J.  
VAN ERK, SARAH J  
VANIN, MICHAEL C.  
VAYDA, ALBERT J.  
VAZQUEL, GIL F.  
VECCHIO, JOHN A.  
VITA, CLARA  
VON MAUR, PETER M.  
WALDMAN, PAUL M.  
WAX, ANDREW L.  
WELLS, DAVID L.

WEPPLER, RICHARD M.  
WEST, MALCOLM  
WHITE, PHILLIP R.  
WILDE, WILLIAM A.  
WILLHOFT, RAYMOND  
WILLIAMS, FORREST  
WINNER, STEWART E.  
WOLF, STEVEN D.  
WONG, LARRY Y.  
WONG, RONALD L.  
WOOD, MARK J.  
WRIGHT, KAREN S.  
ZAIDMAN, LOUIS  
YIP, WILLIAM C.  
YOUNG, DAVID T.  
ZITCH, JAMES R.  
ZONIS, JEFFREY B.  
ZUKOWSKI, SHARON

BIUSHMAN, DEBRA A.  
BYRD, JENNIFER A.  
CACCIOPLI, CAROLANN  
CADICAMO, FRANK T.  
CAGNO, MICHAEL C.  
CAI, HUI  
CALARESE, ROBERTA P.  
CALIENDO, ALFRED L  
CAMPAGNINO, ROBERT T.  
CANDIDO, ANNA M.  
CANGEMI, KATHLEEN D.  
CANNELLA, MICHAEL  
CANNISTRACI, JOHN C.  
CAPIN, DAVID L.  
CAPOZZI, CYTHIA L.  
CARLSON, GARY E.  
CARROLL, BRADFORD W.  
CARROLL, CATHLEEN  
CARROLL, ROBERT  
CARROLL, WILLIAM  
CASONE, SALVATORE P.  
CASEY, ANDREW M.  
CASEY, MARK K.  
CASPER, CHARLES M.  
CASPER, DANIEL P.  
CASTON, ANNA M  
CAVANAUGH, MICHAEL J.  
CAVAZOS, RAY P.  
CAVITOLO, FRANK  
CEFOLO, LINDA G.  
CERAMI, PAUL  
CHAE, ELLEN  
CHASE, JONATHAN  
CHEESEMAN, ROBERT L.  
CHAN, DEBORAH  
CHEN, CHUAN  
CHEN, GEORGE  
CHEN, LIE-JUAN  
CHEN, MINSON  
CHETTLE, J. WILLIAM  
CHIARELLA, ROBERT  
CHODES, SCOTT M.  
CHOW, NAN-WEI  
CHU, JULIA  
CHU, MICHAEL C.  
CIPRIANO, GINO  
CLARK, LILLIAN DAVIDSON  
CLELAND, DAVID  
COHAN, SANDRA L.  
COLELLA, CHARLES J.

COLDWELL, ERIC W.  
COLORACCI, CHRISTOPHER J.  
COLWELL III, ARTHUR  
CONNOLLY, PATRICIA E.  
CONNORS, JAMES I.  
CONNORS, PATRICIA B.  
CONRAD, MARK D.  
CONSTANTINE, BARBARA J.  
COOKE, CYNTHIA F.  
CORBETT, JAMES  
CORSINO, GARY L.  
COYLE, JOSEPH  
CRANOR, MICHAEL R.  
CRAWFORD, MARTIN G.  
CRISALLI-FLECKER, DONNA  
CRUZ, RUBEN D.  
CUCHELO, MARK F.  
CULBERSON JR., JOHN C.  
CULPEPPER, REGINALD C.  
CULVER, DOUGLAS F.  
CUOMO, HENRIETTA A.  
CURRAN, GREGORY P.  
CURRAN, STEVE T.  
D'ASTOLI, PETER  
D'SOUZA, ANISE  
DALFREDO, DAVID R.  
DAHL, CRAIG S.  
DALY, THOMAS F.  
DARCY, CATHERINE  
DAVID, ROBERT C.  
DAVIS, ALISON W.  
DAVIS, CARL H.  
DAVIS, CHRISTOPHER L.  
DAWKINS, GREGORY L.  
DAWREEAWOO, BATOOLAH  
DEAR, DAVID E.  
DE ANGELIS, MATTHEW J.  
DE BONIS, JOHN  
DE BURGH-MOUND, DORINE  
DE CANDIDO, FRANK J.  
DE CRESCENZO, LORRAINE  
DEGIDIO, DENNIS P.  
DEGNEN, THOMAS E.  
DELDEMASTRO, THERESA M.  
DEMING, SEAN F.  
DENNY, JOHN C.  
DETORFINO, TONI C.  
DEVITO, KATHLEEN  
DEVLIN, BRIAN K.  
DEVLIN, DARROW

GARZINO, NUNZIO  
GASTAVER, KEITH E.  
GAULT, JOHN J.  
GAZE, CHRISTINE E.  
GEARHART, JOHN M.  
GERAKOPOULOS, STEPHANIE M.  
GERENA, LUIS A.  
GETZ, TIMOTHY  
GETZOFF, RICHARD J.  
GIANNOTTO, MICHAEL J.  
GIBBONS, EILEEN A.  
GILMAN, ERNEST R.  
GILSTER, KEVIN L.  
GINTY, WILLIAM A.  
GIOVATI, RICHARD  
GIUDICE, ROBERT J.  
GLASCO, JOEL L.  
GLYNN, GERARD T.  
GLYNN, MICHAEL  
GOECKLER, WILLIAM H.  
GOLD, MARCIA B.  
GOLDBERG, ERMA L.  
GOLDSTEN, IRA  
GOLDSCHMIEHT, ELI S.  
GONZALES, JOSE U.  
GONZALES, RAUL J.  
GONZALEZ, SIRO J.  
GOOD, DAN L.  
GOODMAN, BRUCE  
GORDON, DENNIS P.  
GORDON, EDWARD J.  
GORDON, JOHN  
GUGELMANN, ELISABETH C.  
GRABOWSKY, JOHN F.  
GRAHAM, ANDREW M.  
GRANT, DENNIS O.  
GRECO, DARREN  
GREENWOOD, FLOYD S.  
GREIG, LAURA A.  
GRIMME, JOHN M.  
GROSS, STEVEN D.  
GRUSH, GLENN J.  
GUTKNECHT, JOSEPH J.  
GUYMER, TERRANCE A.  
HADAM, STANLEY P.  
HADFIELD, SCOTT W.  
HALL, JOHN A.  
HALLIS, STACY ANN  
HALPERN, DAVID L.  
HAMILTON, GEOFFREY

HANF, CHRISTOPHER  
HANSEN, MARK J.  
HARDING, LISA  
HARMEL, LESLIE A.  
HAROLD, ELLEN E.  
HARRINGTON, PAUL J.  
HARRIS, DAVID G.  
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