

Commonwealth of Kentucky
Elaine N. Walker, Secretary of State

LARP
0166012
Elaine N. Walker
Secretary of State
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Elaine N. Walker
Secretary of State
P. O. Box 1150
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Annual Report
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ARP

Company: LPL FINANCIAL LLC
Company ID: 0166012
State of origin: California
Formation date: 4/15/1982 12:00:00 AM
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Principal Office

9785 TOWNE CENTRE DR
SAN DIEGO, CA 92121

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W MAIN ST
SUITE 512
FRANKFORT, KY 40601

Members/Managers

| | | |
|---------|--------------------|---|
| Manager | Stephanie L. Brown | One Beacon Street, 22nd Floor, Boston, MA 02108 |
| Manager | Robert J. Moore | 9785 Towne Centre Drive, San Diego, CA 92121 |
| Manager | Esther M. Stearns | 9785 Towne Centre Drive, San Diego, CA 92121 |
| Manager | Mark S. Casady | One Beacon Street, 22nd Floor, Boston, MA 92121 |
| Manager | Esther M. Stearns | 9785 Towne Centre Drive, San Diego, CA 92121 |
| Manager | Robert J. Moore | 9785 Townce Centre Drive, San Diego, CA 92121 |

Signatures

| | |
|------------------|--------------------|
| Signature | Stephanie L. Brown |
| Title | Secretary |