

LARP

0166012  
Michael G. Adams  
KY Secretary of State  
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# Commonwealth of Kentucky Michael G. Adams, Secretary of State

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

## Annual Report Online Filing

ARP

**Company:** LPL FINANCIAL LLC  
**Company ID:** 0166012  
**State of origin:** California  
**Formation date:** 4/15/1982 12:00:00 AM  
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### Principal Office

4707 EXECUTIVE DRIVE  
SAN DIEGO, CA 92121

### Registered Agent Name/Address

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

### Members/Managers

Manager MICHELLE B OROSHAKOFF 4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121  
Manager DAN H ARNOLD 4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121  
Manager MATTHEW J AUDETTE 4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121  
Manager GREGORY M WOODS 75 STATE STREET, 22ND FLOOR BOSTON, MA 02109

### Signatures

**Signature** Gregory M. Woods  
**Title** Secretary