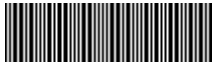


**KENTUCKY SECRETARY OF STATE
P.O.BOX 718
FRANKFORT, KY 40602**



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Revocation of Certificate of Authority

I, Michael G. Adams, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

IMPORTANT NOTICE

LPL FINANCIAL LLC

did not file its 2021 annual report within sixty days after it was due. Accordingly, the Secretary of State revoked the limited liability company's authority to transact business in Kentucky on October 19, 2021.

**LPL FINANCIAL LLC
4707 EXECUTIVE DRIVE
SAN DIEGO CA 92121**



Michael G. Adams

Secretary of State