



COMMONWEALTH OF KENTUCKY  
**OFFICE OF THE SECRETARY OF STATE**  
MICHAEL G. ADAMS

**TO: POTENTIAL CANDIDATES**

**FROM: MICHAEL G. ADAMS, SECRETARY OF STATE**

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**Candidates for the following offices shall file with the county clerk to attain ballot access and should contact the county clerk of their county of residence for filing procedures and requirements:** Circuit Clerk, Commonwealth Attorney in single county circuits, County Judge Executive, County Clerk, County Attorney, Sheriff, Jailor, Coroner, Property Valuation Administrator, Surveyor, Magistrate/Justice of the Peace, County Commissioner, Constable, Soil and Water Conservation District Supervisor, Mayor, City Legislative Body, and Board of Education.

**Candidates for the following offices shall file with the Office of the Secretary of State:** President of the United States, Vice President of the United States, United States Senator, United States Representative in Congress, Governor, Lieutenant Governor, Secretary of State, Attorney General, Auditor of Public Accounts, State Treasurer, Commissioner of Agriculture, State Senator, State Representative, Supreme Court Justice, Judge of the Court of Appeals, Circuit Judge, District Judge, and Commonwealth Attorney in multi-county circuits.

Following are guidelines for candidates filing with the Office of the Secretary of State:

1. Complete all applicable blanks on the filing form. The Office of the Secretary of State will make the necessary copies for distribution.
2. If the filing form requires notarization, the candidates and signers must have their signatures acknowledged by a Notary Public and the expiration date of the notary's commission must be stated.
3. Checks should be made payable to the Kentucky State Treasurer.
4. Candidates may file in person or by mail at the following address:

Office of the Secretary of State  
Office of Elections  
700 Capital Avenue  
Room 148, State Capitol  
Frankfort, KY 40601

**Questions relating to campaign finance procedures and requirements for state, judicial and local office should be directed to the Kentucky Registry of Election Finance at (502) 573-2226.** In addition, KREF's website, <http://www.kref.ky.gov>, provides for the downloading of required reporting forms and a book titled Guide to Campaign Finance.

**Questions relating to campaign finance matters for federal candidates should be directed to the Federal Election Commission at 1-800-424-9530.**

If our Office may be of further assistance to you, please contact our election staff at (502) 564-3490 or by email at [sos.elections@ky.gov](mailto:sos.elections@ky.gov).

OFFICE OF ELECTIONS  
700 CAPITAL AVENUE  
ROOM 148, STATE CAPITOL  
FRANKFORT, KY 40601-3493



An Equal Opportunity Employer M/F/D

PHONE: (502) 564-3490  
FAX: (502) 564-0282  
WEBSITE: [www.sos.ky.gov](http://www.sos.ky.gov)  
EMAIL: [sos.elections@ky.gov](mailto:sos.elections@ky.gov)

**GOVERNOR/LIEUTENANT GOVERNOR  
INDEPENDENT and POLITICAL GROUP/ORGANIZATION CANDIDATES  
GENERAL ELECTION**

**The Office of Governor/Lieutenant Governor will appear on the 2023 general election ballot.**

<b>Signatures Required:</b>	Each candidate's signature and the signature of 5,000 registered voters from the district or jurisdiction in which candidate seeks nomination.
<b>Earliest date to file Statement-of-Candidacy</b>	<b>November 9, 2022</b>
<b>Latest date to file Statement-of-Candidacy (4:00 p.m., local time)</b>	<b>April 3, 2023</b>
<b>Earliest date to affix signatures and file Nominating Petition</b>	<b>November 9, 2022</b>
<b>Latest date to file Nominating Petition (4:00 p.m., local time)</b>	<b>June 6, 2023</b>
<b>Latest date to designate running mate for Lieutenant Governor</b>	<b>August 8, 2023</b>

<b>Filing Form SBE 59A</b>	Statement-of-Candidacy
<b>Filing Form SBE 59 and 59-2</b>	Nominating Petition
<b>Filing Form KREF-001</b>	Slate of Candidates Appointment of Campaign Treasurer
Filing Fee	\$500.00
Filing Official	Secretary of State

Independents and Political Group/Organization candidates attain general election ballot access for the office of Governor by filing a Statement-of-Candidacy and a Nominating Petition with the Secretary of State. The filing of the Statement-of-Candidacy is a prerequisite to file the petition of nomination.

The Statement-of-Candidacy form must be filed with the Secretary of State not later than **April 1st** preceding the general election. There is **NO** filing fee for filing the signed Statement-of-Candidacy and failure to file the Statement-of-Candidacy by candidates will result in rejection of the petition of nomination. All candidates except those candidates who have been nominated by any qualified political organization must file a Nominating Petition.

Pursuant to KRS 118.126, each candidate for the office of Governor shall designate a candidate for Lieutenant Governor to serve as his or her running mate in a slate of candidates. After the filing of the certificate or petition of nomination under KRS 118.365, and not later than 4 p.m. on the second Tuesday in August preceding the regular election for the office of Governor, the candidate shall designate his or her running mate by filing with the Secretary of State the name and address of a person qualified under the Constitution of Kentucky to serve as Lieutenant Governor.

\*If the office in which the Statement-of-Candidacy form is to be filed is **closed on April 1st**, the form may be filed on the next business day.

**Establishing Campaign Account:** For information regarding campaign contribution and expenditure reporting requirements received or expended in the year before the year the candidate appears on the ballot, contact the **Registry of Election Finance** at (502) 573-2226.

**Please Note:** All information contained in this packet is subject to change per Kentucky Revised Statutes.



## KENTUCKY REGISTRY OF ELECTION FINANCE

Thomas P. O'Brien, III, Chairman  
Adrian M. Mendiondo, Vice-Chair  
Richard Clayton Larkin, Member  
H. David Wallace, Member  
J. Bissell Roberts, Member  
Laura Marie Bennett, Member  
Jessica A. Burke, Member

140 Walnut Street  
Frankfort, Kentucky 40601-3240  
Phone: (502) 573-2226  
Fax: (502) 573-5622  
[www.kref.ky.gov](http://www.kref.ky.gov)

John R. Steffen  
Executive Director  
Leslie M. Saunders  
General Counsel

### NOTICE AND INSTRUCTIONS TO ALL CANDIDATES

- **Mandatory electronic reporting** – All candidates are required to **electronically** submit all required campaign finance reports to the Registry of Election Finance.
- **Candidates MUST first submit a KREF 001 form electronically to the Registry** – In order to comply with the mandatory electronic filing requirements, **ALL** candidates for office in Kentucky must create an account in the Registry's electronic filing system and submit a KREF 001 form electronically to the Registry **before** starting their campaigns. Please note that candidates must complete a separate form for each election (candidates who filed for the Primary and move forward to the General must create a new intent for the General).
- **Rule 4.4 from the Kentucky Code of Judicial Conduct requires all candidates seeking judicial office who intend to raise campaign funds for that purpose establish a candidate campaign committee** – The Registry's electronic filing system provides judicial candidates with the opportunity to select this option when submitting their KREF 001 form.
- **Candidates are no longer required to submit a hard copy of their KREF 001 with the Secretary of State or County Clerk** – Recent legislation has eliminated the requirement that candidates print a hard copy of the KREF 001 form to accompany their ballot access form when the candidates file for office with the Secretary of State or County Clerk. Instead, the Secretary of State or County Clerk will forward a copy of candidates' ballot access forms to the Registry.
- **INSTRUCTIONS FOR ACCESSING THE REGISTRY'S ELECTRONIC FILING SYSTEM** – In order to initiate the filing process, candidates should go to <https://kref.ky.gov>, click on the "EFILE" button on the Registry's homepage, and follow the instructions found there for creating their account and submitting their KREF 001 form electronically. Candidates should not begin to raise or spend money until their forms are submitted to the Registry.
- **Please note that the County Clerks CANNOT file this form for you** – It is the responsibility of the candidates to create an account and electronically submit their KREF 001 form and their reports with the Registry.
- **ASSISTANCE** – Candidates needing assistance with the electronic filing process should call the Registry at 502-573-2226.



COMMONWEALTH OF KENTUCKY  
**EXECUTIVE BRANCH ETHICS COMMISSION**

JUDGE ROGER L. CRITTENDEN (RET.)  
**CHAIR**

SEN. DAVID K. KAREM (RET.)  
**VICE CHAIR**

CRIT LUALLEN  
JUSTICE DANIEL J. VENTERS (RET.)  
DAVID S. SAMFORD

**CAPITAL COMPLEX EAST**  
**1025 CAPITAL CENTER DRIVE, SUITE #104**  
**FRANKFORT, KY 40601**  
**(502) 564-7954**  
**FAX: (502)-695-5939**  
**HTTPS://ETHICS.KY.GOV/**

SUSAN STOKLEY CLARY  
EXECUTIVE DIRECTOR

STEVEN PULLIAM  
GENERAL COUNSEL

SUCHETA MEENA MOHANTY  
DEPUTY GENERAL COUNSEL

**NOTICE FROM THE EXECUTIVE BRANCH ETHICS COMMISSION FOR  
CANDIDATES FILING FOR CONSTITUTIONAL OFFICES**

**2022 Calendar Year Statement of Financial Disclosure-DUE FEBRUARY 15, 2023**

- Candidates for **Constitutional Offices**, (Governor, Lieutenant Governor, Secretary of State, Attorney General, Treasurer, Commissioner of Agriculture, Auditor of Public Accounts), must file a 2022 Statement of Financial Disclosure (SFD) with the Executive Branch Ethics Commission on or before **February 15, 2023**. KRS 11A.050(1)(d). If you have questions, please contact the Commission immediately for clarification at 502.564.7954.
- E-file your 2022 Statement of Financial Disclosure at <http://ethics.ky.gov/>. Look for this icon:

Click **HERE**  
to File Your SFD

Once you click SUBMIT, your Statement of Financial Disclosure will be submitted to the Commission, and you will be able to print a copy for your records as proof of your filing.

- You may also access the form by clicking on the “State Employee Resources” button on the EBEC website, <http://ethics.ky.gov/>. Complete, sign, and print the form and send it by:

**EMAIL:** [EthicsFiler@ky.gov](mailto:EthicsFiler@ky.gov)

Or **U.S. Mail or Messenger Mail to:** Executive Branch Ethics Commission  
Capital Complex East,  
1025 Capital Center Drive, Suite 104  
Frankfort, Ky 40601



**STATEMENT-OF-CANDIDACY**  
 (Independent candidates or candidates of political groups/organizations required to file with the Secretary of State or county clerk)

This Space for Filing Officer Only

I, \_\_\_\_\_  
 (Name of Candidate)

reside at \_\_\_\_\_  
 (Residential Address)

\_\_\_\_\_, do hereby state my desire  
 (City, State, Zip)

to become a candidate for the office of \_\_\_\_\_

district \_\_\_\_\_ of the political group/organization known as \_\_\_\_\_  
 (if applicable)

or as an independent candidate under the provisions of KRS 118.367.

I do further declare that I am aware that ballot access is obtained by filing a petition of nomination and that the filing of petitions of nominations for independent candidates shall not be accepted by the Secretary of State or the county clerk, if the candidate has not filed a Statement-of-Candidacy form. KRS 118.365(5)

\_\_\_\_\_  
 (Signature of Candidate)

The deadline for filing a Statement-of-Candidacy form is **not later than April 1** preceding the day fixed by law for holding of general elections for the offices sought and may be filed no earlier than the first Wednesday after the first Monday in November of the year preceding the year in which the office will appear on the ballot. KRS 118.367

Petitions of nomination shall be filed as required with the Secretary of State or county clerk **not later than the first Tuesday after the first Monday in June** preceding the day fixed by law for the holding of general elections for the offices sought and may be filed no earlier than the first Wednesday after the first Monday in November of the year preceding the year in which the offices will appear on the ballot. KRS 118.365(5)



# NOMINATING PETITION

(Independent candidates or candidates of political groups/organizations required to file with the Secretary of State or County Clerk)

This Space for Filing Officer Only

To \_\_\_\_\_ Commonwealth of Kentucky, \_\_\_\_\_ County:  
(County Clerk or Secretary of State, as case may be)

We, the undersigned petitioners, being voters of the same district or jurisdiction from which the candidate seeks nomination, and being at least \_\_\_\_\_ in number, hereby petition you to cause to be printed on the official ballot of said county/counties \_\_\_\_\_ of the Commonwealth of Kentucky at the regular election to be held on November \_\_\_\_\_ 20\_\_\_\_ the name of \_\_\_\_\_ who resides at \_\_\_\_\_, (Day) (Residential Address) (City, State, Zip)

that my mailing address, if different, is \_\_\_\_\_ KY \_\_\_\_\_, (Post Office Address) (City) (Zip)

as a candidate for the office of \_\_\_\_\_ district \_\_\_\_\_ of the political group/organization known as \_\_\_\_\_ or as an independent candidate.

I, \_\_\_\_\_, hereby declare that I (Name of candidate in full as desired on ballot as provided in KRS 118.129) possess all the constitutional and statutory requirements for the office for which I have filed.

\_\_\_\_\_  
(Signature of Candidate)

Petitioners shall include residential address, signature affixation date, and date of birth. Failure of a voter to include the signature affixation date, date of birth, and residential address shall result in the signature not being counted.

DATE	BIRTH DATE	SIGNATURE OF PETITIONER	RESIDENTIAL ADDRESS	CITY & ZIP





DESIGNATION OF CANDIDATE FOR LIEUTENANT GOVERNOR

This Space for Filing Officer Only

I, \_\_\_\_\_, hereby notify the Kentucky Secretary of State that I  
(Name of Candidate for Governor)

designate \_\_\_\_\_, who resides at  
(Name of Candidate for Lt. Governor in full as desired on the ballot as provided in KRS 118.129)

\_\_\_\_\_, KY \_\_\_\_\_,  
(Residential Address) (City) (County) (Zip)

to serve as my running mate in a slate of candidates. He/she is qualified under the Constitution of Kentucky to serve as Lieutenant Governor.

\_\_\_\_\_  
(Signature of Candidate for Governor)

COMMONWEALTH OF KENTUCKY ]  
COUNTY OF \_\_\_\_\_ ]

Subscribed and sworn to before me by \_\_\_\_\_, on this \_\_\_\_\_ day of  
(Name of Candidate for Governor)  
\_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public Signature

My commission expires: \_\_\_\_\_  
Notary ID: \_\_\_\_\_