

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

LARP
0843800
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
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ARP

Company: CETERA FINANCIAL SPECIALISTS LLC
Company ID: 0843800
State of origin: Delaware
Formation date: 11/30/2012 12:00:00 AM
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Principal Office

200 N. MARTINGALE RD.
SCHAUMBURG, IL 60173-2096

Registered Agent Name/Address

CT CORPORATION SYSTEM
306 W. MAIN STREET, SUITE 512
FRANKFORT, KY 40601

Members/Managers

Manager	ADAM ANTONIADES	200 N SEPULVEDVA BLVD., STE 1200, EL SEGUNDO, CA 90245
Manager	BRETT L HARRISON	4600 S SYRACUSE ST STE 600 DENVER, CO 80237
Manager	ENRIQUE M VASQUEZ	200 N MARTINGALE RD., 7TH FLOOR, SCHAU MBURG, IL 60173

Signatures

Signature	GREG OLSON
Title	ASSISTANT SECRETARY