

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

LARP

0843800

Alison Lundergan Grimes

KY Secretary of State

Received and Filed

6/17/2019 10:51:18 AM

Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** CETERA FINANCIAL SPECIALISTS LLC  
**Company ID:** 0843800  
**State of origin:** Delaware  
**Formation date:** 11/30/2012 12:00:00 AM  
**Date filed:** 6/17/2019 10:51:18 AM  
**Fee:** \$15.00

**Principal Office**

200 N. MARTINGALE RD.  
SCHAUMBURG, IL 60173-2096

**Registered Agent Name/Address**

CORPORATION SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Members/Managers**

Manager	GREG A RUVOLI	200 N MARTINGALE RD., 7TH FLOOR, SCHAU MBURG, IL 60173
Manager	CATHERINE BONNEAU	400 1ST ST SOUTH STE 300 ST CLOUD MN 56301
Manager	JOSEPH NEARY	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245

Business size:	Small
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

**Signatures**

Signature	MARK SHELSON
Title	TREASURER