

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

LARP

0843800

Michael G. Adams
KY Secretary of State

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Michael G. Adams
Secretary of State
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**Annual Report
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ARP

Company: CETERA FINANCIAL SPECIALISTS LLC
Company ID: 0843800
State of origin: Delaware
Formation date: 11/30/2012 12:00:00 AM
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Principal Office

1450 AMERICAN LANE
STE 650
SCHAUMBURG, IL 60173

Registered Agent Name/Address

CORPORATION SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Members/Managers

Member	JOSEPH NEARY	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245
Member	THOMAS TAYLOR	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245
Member	RONALD KRUEGER	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245
Member	GARY CHAFFIN	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245
Member	DAVID VAN HAVERMAAT	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245

Signatures

Signature	GARY CHAFFIN
Title	TAX OFFICER