

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

LARP

0843800

Michael G. Adams
KY Secretary of State

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Michael G. Adams
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
Online Filing
For the Year 2024**

ARP

Company: CETERA FINANCIAL SPECIALISTS LLC
Company ID: 0843800
State of origin: Delaware
Formation date: 11/30/2012 12:00:00 AM
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Principal Office

1450 AMERICAN LANE
6th Floor, STE 600
SCHAUMBURG, IL 60173

Registered Agent Name/Address

CORPORATION SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Members/Managers

Member	JOSEPH D. NEARY	655 W. BROADWAY, 12TH FLOOR, SAN DIEGO, CA 92101
Member	THOMAS B. TAYLOR	200 N. PACIFIC COAST HWY, STE 1300, EL SEGUNDO, CA 90245
Member	THOMAS A. GOOLEY	1450 American Lane, 6th Floor Suite #600, Schaumburg, IL 60173
Member	Ronald D. Krueger	1450 American Lane, 6th Floor Suite #600, Schaumburg, IL 60173

County: Franklin
Business size: Small
Business type: Holding and other Investment Offices

Signatures

Signature Gary L. Chaffin
Title Authorized Person