

Commonwealth of Kentucky  
Michael G. Adams, Secretary of State

PARM  
0118603  
Michael G. Adams  
KY Secretary of State  
Received and Filed  
2/22/2021 3:35:12 PM  
Fee receipt: \$15.00

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Amendment Annual Report  
Online Filing

ARA

**Company:** PIPER SANDLER & CO.  
**Company ID:** 0118603  
**State of origin:** Delaware  
**Formation date:** 6/14/1979 12:00:00 AM  
**Date filed:** 2/22/2021 3:35:12 PM  
**Fee:** \$15.00

**Principal Office**

ATTN: KATHLEEN T. WINSLOW  
PIPER SANDLER & CO.  
800 NICOLLET MALL, SUITE 900  
MINNEAPOLIS, MN 55402

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

|                     |                     |                                          |
|---------------------|---------------------|------------------------------------------|
| CEO                 | Chad R. Abraham     | 800 Nicollet Mall, Minneapolis, MN 55402 |
| CFO                 | Timothy L. Carter   | 800 Nicollet Mall, Minneapolis, MN 55402 |
| President           | Debbra L. Schoneman | 800 Nicollet Mall, Minneapolis, MN 55402 |
| Assistant Secretary | James Grant         | 800 Nicollet Mall, Minneapolis, MN 55402 |
| Secretary           | John W. Geelan      | 800 Nicollet Mall, Minneapolis, MN 55402 |
| Treasurer           | Kasi V. Subramanian | 800 Nicollet Mall, Minneapolis, MN 55402 |

**Directors**

|          |                       |                                          |
|----------|-----------------------|------------------------------------------|
| Director | Francis E. Fairman IV | 800 Nicollet Mall, Minneapolis, MN 55402 |
| Director | Chad R. Abraham       | 800 Nicollet Mall, Minneapolis, MN 55402 |
| Director | Scott R. LaRue        | 800 Nicollet Mall, Minneapolis, MN 55402 |
| Director | Timothy L. Carter     | 800 Nicollet Mall, Minneapolis, MN 55402 |
| Director | Debbra L. Schoneman   | 800 Nicollet Mall, Minneapolis, MN 55402 |
| Director | Michael E. Cox        | 800 Nicollet Mall, Minneapolis, MN 55402 |

**Signatures**

|                  |                |
|------------------|----------------|
| <b>Signature</b> | John W. Geelan |
| <b>Title</b>     | Secretary      |