

Commonwealth of Kentucky  
Trey Grayson, Secretary of State

PARP  
0118603  
Trey Grayson  
Secretary of State  
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Trey Grayson  
Secretary of State  
P. O. Box 1150  
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<http://www.sos.ky.gov>

Annual Report  
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ARP

**Company:** PIPER JAFFRAY & CO.  
**Company ID:** 0118603  
**State of origin:** Delaware  
**Formation date:** 6/14/1979 12:00:00 AM  
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**Principal Office**

ATTN: MELISSA D. RICHASON  
PIPER JAFFRAY & CO.  
800 NICOLLET MALL  
MAIL STOP JO9NO2  
MINNEAPOLIS, MN 55402

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

CEO	Andrew S. Duff	800 Nicollet Mall, Minneapolis, MN 55402
CFO	Debbra L. Schoneman	800 Nicollet Mall, Minneapolis, MN 55402
COO	Thomas P. Schnettler	800 Nicollet Mall, Minneapolis, MN 55402
Assistant Secretary	John W. Geelan	800 Nicollet Mall, Minneapolis, MN 55402
Secretary	James L. Chosy	800 Nicollet Mall, Minneapolis, MN 55402

**Directors**

Director	Andrew S. Duff	800 Nicollet Mall, Minneapolis, MN 55402
Director	Francis E. Fairman IV	800 Nicollet Mall, Minneapolis, MN 55402
Director	Robert W. Peterson	800 Nicollet Mall, Minneapolis, MN 55402
Director	Thomas P. Schnettler	800 Nicollet Mall, Minneapolis, MN 55402
Director	M. Bradley Wingses	800 Nicollet Mall, Minneapolis, MN 55402
Director	Jon W. Salvesson	800 Nicollet Mall, Minneapolis, MN 55402

**Signatures**

<b>Signature</b>	Melissa D. Richason
<b>Title</b>	Paralegal