

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

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Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
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**Annual Report
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ARP

Company: PIPER JAFFRAY & CO.
Company ID: 0118603
State of origin: Delaware
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Principal Office

ATTN: MELISSA D. RICHASON
PIPER JAFFRAY & CO.
800 NICOLLET MALL
MAIL STOP JO9NO2
MINNEAPOLIS, MN 55402

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W MAIN ST
SUITE 512
FRANKFORT, KY 40601

Current Officers

CEO	Andrew S. Duff	800 Nicollet Mall, Minneapolis, MN 55402
CFO	Debbra L. Schoneman	800 Nicollet Mall, Minneapolis, MN 55402
Secretary	John W. Geelan	800 Nicollet Mall, Minneapolis, MN 55402
Secretary	James Grant	800 Nicollet Mall, Minneapolis, MN 55402

Directors

Director	Andrew S. Duff	800 Nicollet Mall, Minneapolis, MN 55402
Director	Francis E. Fairman IV	800 Nicollet Mall, Minneapolis, MN 55402
Director	Chad R. Abraham	800 Nicollet Mall, Minneapolis, MN 55402
Director	M. Bradley Wings	800 Nicollet Mall, Minneapolis, MN 55402
Director	Jeffrey P/ Klinefelter	800 Nicollet Mall, Minneapolis, MN 55402
Director	Scott R. LaRue	800 Nicollet Mall, Minneapolis, MN 55402

Signatures

Signature	/s/ John W. Geelan
Title	Secretary