

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP  
**0469503**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/14/2012 9:26:28 AM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** JEFFERSON NATIONAL SECURITIES CORPORATION  
**Company ID:** 0469503  
**State of origin:** Delaware  
**Formation date:** 2/16/1999 12:00:00 AM  
**Date filed:** 6/14/2012 9:26:28 AM  
**Fee:** \$15.00

**Principal Office**

9920 CORPORATE CAMPUS DRIVE  
SUITE 1000  
LOUISVILLE, KY 40223

**Registered Agent Name/Address**

CRAIG A. HAWLEY  
9920 CORPORATE CAMPUS DR  
SUITE 1000  
LOUISVILLE, KY 40223

**Current Officers**

CFO	Jon Hurd	9920 Corporate Campus Drive, Suite 1000, Louisville, KY 40223
President	Craig A. Hawley	9920 Corporate Campus Drive, Suite 1000, Louisville, KY 40223
Secretary	Craig A. Hawley	9920 Corporate Campus Drive, Suite 1000, Louisville, KY 40223

**Directors**

Director	Robert JEFFERSON	201 Queen St., Apt. 9, Philadelphia, PA 19147
----------	------------------	---

**Signatures**

<b>Signature</b>	Missy Pulliam
<b>Title</b>	Compliance Manager