

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

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Alison Lundergan Grimes
KY Secretary of State
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Secretary of State
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**Statement of Change of
Principal Office Address**

POC

Pursuant to the provisions of KRS chapters 271B, 273, 275, or 362, the undersigned hereby applies to change the principal office on behalf of

Regent Investment Management LLC

which is organized in the state of Kentucky, and for that purpose submits the following statements:

1. Address of current principal office

13303 Ashford Circle
Goshen, KY 40026

2. Principal office is hereby changed to:

4350 Brownsboro Rd
Suite 120
Louisville, KY 40207

3. Signature of officer or chairman of the board

Steven T. Naiser, Vice President

Signature and Title

Type or print name and title

6/25/2012 4:50 PM

Date