

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

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Michael G. Adams
KY Secretary of State
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Michael G. Adams
Secretary of State
P. O. Box 718
Frankfort, KY 40602-0718
(502) 564-3490
<http://www.sos.ky.gov>

Certificate of Assumed Name

ASN

Pursuant to the provisions of KRS 365.015, the undersigned hereby applies to assume a name, and for that purpose, submits the following statements:

1. The assumed name is:

CARDINAL POINTE FINANCIAL GROUP

2. The name of the business entity that is adopting the assumed name is:

HEICK HESTER SMITH & ASSOCIATES, LLC

3. This application will be effective upon filing.

4. The mailing address is:

408 BLANKENBAKER PARKWAY, LOUISVILLE KY 40243

5. I declare under penalty of perjury under the laws of the state of Kentucky that the foregoing is true and correct.

John W Smith
Managing Partner

9/8/2023