



COMMONWEALTH OF KENTUCKY
MICHAEL G. ADAMS, SECRETARY OF STATE

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Michael G. Adams
Kentucky Secretary of State
Received and Filed:
2/14/2023 10:36 AM
Fee Receipt: \$90.00

Division of Business Filings
P.O. Box 718
Frankfort, KY 40602
(502) 564-3490
www.sos.ky.gov

Certificate of Authority
(Foreign Business Entity)

FBE

Pursuant to the provisions of KRS 14A - 030 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements:

1. The entity is a: ☒ profit corporation ☐ nonprofit corporation ☐ professional limited liability company
☐ business trust ☐ limited liability company ☐ statutory trust
☐ limited partnership ☐ ltd cooperative association ☐ other
☐ non-profit llc ☐ professional service corporation

2. The name of the entity is Envestnet Portfolio Solutions, Inc.

(The name must be identical to the name on record with the Secretary of State.)

3. The name of the entity to be used in Kentucky is (if applicable):

(Only provide if "real name" is unavailable for use; otherwise, leave blank.)

4. The state or country under whose law the entity is organized is Delaware

5. The date of organization is 04/28/1986

and the period of duration is

(If left blank, duration is considered perpetual.)

6. The mailing address of the entity's principal office is
1801 California Street, Floor 23

Street Address

Denver

City

CO

State

80202

Zip Code

7. The street address of the entity's registered office in Kentucky is
306 W. Main Street, Suite 512,

Street Address (No P.O. Box Numbers)

Frankfort

City

KY

State

40601

Zip Code

and the name of the registered agent at that office is C T Corporation System

8. The names and business addresses of the entity's representatives (secretary, officers and directors, managers, trustees or general partners):

See Attached.

Name	Street or P.O. Box	City	State	Zip Code
Name	Street or P.O. Box	City	State	Zip Code
Name	Street or P.O. Box	City	State	Zip Code

9. If a professional service corporation, all the individual shareholders, not less than one half (1/2) of the directors, and all of the officers other than the secretary and treasurer are licensed in one or more states or territories of the United States or District of Columbia to render a professional service described in the statement of purposes of the corporation.

10. I certify that, as of the date of filing this application, the above-named entity validly exists under the laws of the jurisdiction of its formation.

11. If a limited partnership, it elects to be a limited liability limited partnership. Check the box if applicable: ☐

12. If a limited liability company, check box if manager-managed: ☐

13. This application will be effective upon filing.

Lori Lundrigan
Signature of Authorized Representative

Lori Lundrigan - Head of Tax and VP

Printed Name & Title

02/08/2023

Date

I, C T Corporation System,

Type/Print Name of Registered Agent

consent to serve as the registered agent on behalf of the business entity.

By: Sherry McGinnes

Sherry McGinnes

Printed Name

Assistant Secretary

Title

2/10/2023

Date

Signature of Registered Agent

Envestnet Portfolio Solutions, Inc. List of Officers:

Management Name	Title	Address
Crager, Bill	Chief Executive Officer and President	1000 Chesterbrook Blvd, Suite 250, Berwyn, PA 19312
Coppola, Robert	Chief Technology Officer	1000 Chesterbrook Blvd, Suite 250, Berwyn, PA 19312
Mayer, Joshua	Chief Operating Officer	1000 Chesterbrook Blvd, Suite 250, Berwyn, PA 19312
Rosenthal, Sharon	Chief Human Resources Officer	1000 Chesterbrook Blvd, Suite 250, Berwyn, PA 19312
D'Auria, Dana	Co-Chief Investment Officer/Group President Envestnet Solutions	1000 Chesterbrook Blvd, Suite 250, Berwyn, PA 19312
Sipp, Thomas	Executive Vice President, Business Lines	1000 Chesterbrook Blvd, Suite 250, Berwyn, PA 19312
Newsome, Dawn	Chief Business Operations Officer	1000 Chesterbrook Blvd, Suite 250, Berwyn, PA 19312
D'Arrigo, Peter	Chief Financial Officer/Treasurer	1000 Chesterbrook Blvd, Suite 250, Berwyn, PA 19312
DeVoe, Debra	Chief Compliance Officer	1000 Chesterbrook Blvd, Suite 250, Berwyn, PA 19312
O'Brien, Shelly	Chief Legal Officer, General Counsel and Corporate Secretary	1000 Chesterbrook Blvd, Suite 250, Berwyn, PA 19312
Thomas, Brandon	Co-Chief Investment Officer	1000 Chesterbrook Blvd, Suite 250, Berwyn, PA 19312
Lundrigan, Lori	Head of Tax and Vice President	1801 California St, Floor 23, Denver, CO 80202
Cooper, Barry	Head of Accounting Management and Vice President	1801 California St, Floor 23, Denver, CO 80202