

# Kentucky Secretary of State Annual Report

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**Company** PUTNAM LOVELL NBF SECURITIES INC.  
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## Principal Office

65 EAST 55TH STREET  
34th Floor  
NEW YORK, NY 10022

## Registered Agent

CSC-LAWYERS INCORPORATING SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

## Officers

Officer	Paul Badeski	65 East 55th Street, 31st Floor, NY, NY, 10022
Officer	Marie Langlois	1155 Metcalfe, 4th Floor, Montreal, Quebec, H3B 4S9
Officer	Barbara Kearney	65 East 55th Street, 34th Floor, NY, NY, 10022
Officer	Randall Myles Keegan	65 East 55th Street, 31st Floor, NY, NY, 10022
Officer	Gregory Meredith	65 East 55th Street, 34th Floor, NY, NY, 10022
Officer	Kenneth A. Paterson	65 East 55th Street, 31st Floor, NY, NY, 10022
President	John Griff	65 East 55th Street, 34th Floor, NY, NY, 10022
Secretary	Martin-Pierre Boulianne	600 De La Gauchetiere West, 27th Floor, Montreal, Quebec
CFO	Alain Legris	1155 Metcalfe, 5th Floor, Montreal, Quebec, H3B 4S9
Manager	David Pozniak	1155 Metcalfe, 1st Floor, Montreal, Quebec, H3B 5G2
Officer	Yury Shmuylovich	65 East 55th Street, 31st Floor, NY, NY, 10022
Officer	Gerhard Wetzel	130 King Street West, Suite 3200, Toronto Ontario, M5X 1J9
Officer	Ricardo Hernandez	65 East 55th Street, NY, NY, 10022
Vice President	Joe Kwok	65 East 55th Street, NY, NY, 10022
Vice President	Alex Reeves	130 Jermyn Street, London, England, SW1Y 4PL

## Directors

Director	Charles Aucoin	1 Federal Street, Suite 2703, Boston, Massachusetts, 02458
Director	Sean Healey	65 East 55th Street, 31st Floor, NY, NY, 10022
Director	Kenneth A. Paterson	65 East 55th Street, 31st Floor, NY, NY, 10022
Director	John Griff	65 East 55th Street, 34th Floor, NY, NY, 10022
Director	Hamish Summerfield	130 Jermyn Street, London, England, SW1Y 4PL

## Signatures

**Signature** Martin-Pierre Boulianne

**Title**

Corporate Secretary