

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP  
**0590807**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
5/27/2014 1:51:46 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** GWN SECURITIES, INC.  
**Company ID:** 0590807  
**State of origin:** Florida  
**Formation date:** 7/21/2004 12:00:00 AM  
**Date filed:** 5/27/2014 1:51:46 PM  
**Fee:** \$15.00

**Principal Office**

11440 NORTH JOG ROAD  
STE 101  
PALM BEACH GARDENS, FL 33418-1766

**Registered Agent Name/Address**

National Registered Agents, Inc.  
306 W. MAIN STREET  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

|                |                  |                                                             |
|----------------|------------------|-------------------------------------------------------------|
| CFO            | BARRY RITTMAN    | 11440 NORTH JOG RD., STE. 101, PALM BEACH GARDENS, FL 33418 |
| President      | DENIS WALSH      | 11440 NORTH JOG RD., STE. 101, PALM BEACH GARDENS, FL 33418 |
| Secretary      | MARY T WALSH     | 11440 NORTH JOG RD., STE. 101, PALM BEACH GARDENS, FL 33418 |
| Treasurer      | MARIO MONTEIRO   | 11440 NORTH JOG RD., STE. 101, PALM BEACH GARDENS, FL 33418 |
| Vice President | MARIO MONTEIRO   | 11440 NORTH JOG RD., STE. 101, PALM BEACH GARDENS, FL 33418 |
| Vice President | LAURA J COGNETTI | 11440 NORTH JOG RD., STE. 101, PALM BEACH GARDENS, FL 33418 |

**Directors**

|          |                |                                                             |
|----------|----------------|-------------------------------------------------------------|
| Director | DENNIS WALSH   | 11440 NORTH JOG RD., STE. 101, PALM BEACH GARDENS, FL 33418 |
| Director | KATHLEEN WALSH | 11440 NORTH JOG RD., STE. 101, PALM BEACH GARDENS, FL 33418 |

**Signatures**

|                  |                |
|------------------|----------------|
| <b>Signature</b> | MARIO MONTEIRO |
| <b>Title</b>     | VICE PRESIDENT |