PARP

Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

0599507 Alison Lundergan Grimes KY Secretary of State Received and Filed

6/17/2013 9:18:21 AM Fee receipt: \$15.00

Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing

ARP

Company: SAGEPOINT FINANCIAL, INC.

Company ID: 0599507 State of origin: Delaware

Formation date: 11/19/2004 12:00:00 AM Date filed: 6/17/2013 9:18:21 AM

Fee: \$15.00

Principal Office

2800 NORTH CENTRAL AVENUE

SUITE 2100

PHOENIX, AZ 85004

Registered Agent Name/Address

CSC-LAWYERS INCORPORATING SERVICE COMPANY 421 WEST MAIN STREET FRANKFORT, KY 40601

Current Officers

President Jeffrey M Auld 2800 North Central Ave, Ste 2100, Phoenix, AZ 85004

Secretary Noah d Sorkin One World Financial Ctr, 15th Fl, 200 Liberty St, New York, NY

10281

Treasurer I. Simmone fields 2300 Windy Ridge Dr, #1100, Atlanta, GA 30339

Directors

Director Noah D Sorkin One World Financial Ctr, 15th FI, 200 Liberty St, Ny, NY 10281

Signatures

SignatureNoah SorkinTitleSecretary