

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0599507  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/20/2014 10:21:35 AM  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** SAGEPOINT FINANCIAL, INC.  
**Company ID:** 0599507  
**State of origin:** Delaware  
**Formation date:** 11/19/2004 12:00:00 AM  
**Date filed:** 6/20/2014 10:21:35 AM  
**Fee:** \$15.00

**Principal Office**

2800 NORTH CENTRAL AVENUE  
SUITE 2100

PHOENIX, AZ 85004

**Registered Agent Name/Address**

CSC-LAWYERS INCORPORATING SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Current Officers**

President	JEFFREY M AULD	2800 NORTH CENTRAL, SUITE 2100, PHOENIX, AZ 85004
Secretary	NOAH D SORKIN	ONE WOLD FINANCIAL CENTER, 200 LIBERTY STREET 15TH FLOOR, NEW YORK, NY 10281
Treasurer	I SIMMONE FIELDS	2300 WINDY RIDGE PKWY, SUITE 1100, ATLANTA, GA 30339

**Directors**

Director	JEFFREY M AULD	2800 NORTH CENTRAL, SUITE 2100, PHOENIX, AZ 85004
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**Signatures**

Signature	NOAH SORKIN
Title	SECRETARY