

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

LARP  
0966107  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/7/2018 2:31:44 PM  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
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ARP

**Company:** W.L. LYONS SECURITIES, LLC  
**Company ID:** 0966107  
**State of origin:** Delaware  
**Formation date:** 10/21/2016 12:00:00 AM  
**Date filed:** 6/7/2018 2:31:44 PM  
**Fee:** \$15.00

**Principal Office**

500 WEST JEFFERSON STREET  
SUITE 700  
LOUISVILLE, KY 40202

**Registered Agent Name/Address**

CORPORATION SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Members/Managers**

Manager	James R. Allen	500 West Jefferson Street, Suite 700, Louisville, KY 40202
Manager	Charles M. Grimley	500 West Jefferson Street, Suite 700, Louisville, KY 40202
Manager	J Curtis McCubbin	500 West Jefferson Street, Suite 700, Louisville, KY 40202

Business size:	Small
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

**Signatures**

<b>Signature</b>	Charles M Grimley
<b>Title</b>	CFO