

Commonwealth of Kentucky  
Trey Grayson, Secretary of State

PARP  
0686308  
Trey Grayson  
Secretary of State  
Received and Filed  
6/29/2010 10:23:42 AM  
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Trey Grayson  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
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ARP

**Company:** STERNE AGEE INVESTMENT ADVISORS, INC.  
**Company ID:** 0686308  
**State of origin:** Delaware  
**Formation date:** 2/25/2008 12:00:00 AM  
**Date filed:** 6/29/2010 10:23:42 AM  
**Fee:** \$15.00

**Principal Office**

800 SHADES CREEK PARKWAY  
SUITE 700  
BIRMINGHAM, AL 35209

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

President	Walter A Ruch, III	800 Shades Creek Parkway, Suite 730, Birmingham, AL 35209
Secretary	Brian K Barze	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
Vice President	Bradford D Rodgers	800 Shades Creek Parkway, Suite 730, Birmingham, AL 35209

**Directors**

Director	Walter A Ruch III	800 Shades Creek Parkway, Suite 730, Birmingham, AL 35209
Director	James Dixon	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
Director	Jay W Carter	800 Shades Creek Parkway, Suite 575, Birmingham, AL 35209

**Signatures**

<b>Signature</b>	Bradford D Rodgers
<b>Title</b>	Sr Vice President