

Commonwealth of Kentucky
Elaine N. Walker, Secretary of State

PARP
0686308
Elaine N. Walker
Secretary of State
Received and Filed
6/2/2011 4:12:23 PM
Fee receipt: \$15.00

Elaine N. Walker
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
Online Filing

ARP

Company: STERNE AGEE INVESTMENT ADVISORS, INC.
Company ID: 0686308
State of origin: Delaware
Formation date: 2/25/2008 12:00:00 AM
Date filed: 6/2/2011 4:12:23 PM
Fee: \$15.00

Principal Office

800 SHADES CREEK PARKWAY
SUITE 700
BIRMINGHAM, AL 35209

Registered Agent Name/Address

NATIONAL REGISTERED AGENTS, INC.
400 WEST MARKET STREET
SUITE 1800
LOUISVILLE, KY 40202

Current Officers

Chairman	Walter A Ruch, III	800 Shades Creek Parkway, Suite 730, Birmingham, AL 35209
CEO	Walter A Ruch, III	800 Shades Creek Parkway, Suite 730, Birmingham, AL 35209
CFO	Brian K Barze	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
President	Walter A Ruch, III	800 Shades Creek Parkway, Suite 730, Birmingham, AL 35209
Secretary	Brian K Barze	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
Treasurer	Brian K Barze	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
Vice President	Bradford D Rodgers	800 Shades Creek Parkway, Suite 730, Birmingham, AL 35209

Directors

Director	Walter A Ruch III	800 Shades Creek Parkway, Suite 730, Birmingham, AL 35209
Director	James F Dixon	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
Director	Jay W Carter	800 Shades Creek Parkway, Suite 575, Birmingham, AL 35209

Signatures

Signature	BRADFORD D RODGERS
Title	SR VP/GEN COUNSEL/CCO