

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
0686308
Alison Lundergan Grimes
KY Secretary of State
Received and Filed
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Fee receipt: **\$15.00**

Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
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<http://www.sos.ky.gov>

**Annual Report
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ARP

Company: STERNE AGEE INVESTMENT ADVISORS, INC.
Company ID: 0686308
State of origin: Delaware
Formation date: 2/25/2008 12:00:00 AM
Date filed: 6/25/2012 10:42:04 AM
Fee: \$15.00

Principal Office

800 SHADES CREEK PARKWAY
SUITE 700
BIRMINGHAM, AL 35209

Registered Agent Name/Address

NATIONAL REGISTERED AGENTS, INC.
400 WEST MARKET STREET
SUITE 1800
LOUISVILLE, KY 40202

Current Officers

Chairman	Walter A Ruch, III	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209
CEO	Walter A Ruch, III	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209
CFO	Brian K Barze	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
President	Walter A Ruch, III	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209
Secretary	Brian K Barze	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
COO	JOHN CALVANO	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209
Treasurer	Brian K Barze	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209

Directors

Director	Walter A Ruch III	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209
Director	James F Dixon	800 Shades Creek Parkway, Birmingham, AL 35209
Director	Jay W Carter	800 Shades Creek Parkway, Birmingham, AL 35209

Signatures

Signature	JOHN CALVANO
Title	COO