

Commonwealth of Kentucky
Trey Grayson, Secretary of State

0546409

Trey Grayson
Secretary of State
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PARP

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Secretary of State
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Annual Report
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ARP

Company: STERNE AGEE FINANCIAL SERVICES, INC.
Company ID: 0546409
State of origin: Delaware
Formation date: 10/16/2002 12:00:00 AM
Date filed: 6/24/2010 10:20:19 AM
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Principal Office

800 SHADES CREEK PKWY. SUITE 550
BIRMINGHAM, AL 35209

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W MAIN ST
SUITE 512
FRANKFORT, KY 40601

Current Officers

CFO	C FRED WAGSTAFF III	800 Shades Creek Parkway, Suite 550, Birmingham, AL 35209
President	JAY WILSON CARTER	800 Shades Creek Parkway, Suite 575, Birmingham, AL 35209
Secretary	WILLIAM K HOLBROOK	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209

Directors

Director	C FRED WAGSTAFF III	800 Shades Creek Parkway, Suite 550, Birmingham, AL 35209
Director	JAMES S. HOLBROOK, JR	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209

Signatures

Signature	C Fred Wagstaff, III
Title	CFO