

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
0546409
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
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<http://www.sos.ky.gov>

**Annual Report
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ARP

Company: STERNE AGEE FINANCIAL SERVICES, INC.
Company ID: 0546409
State of origin: Delaware
Formation date: 10/16/2002 12:00:00 AM
Date filed: 5/24/2012 3:12:15 PM
Fee: \$15.00

Principal Office

800 SHADES CREEK PKWY. SUITE 550
BIRMINGHAM, AL 35209

Registered Agent Name/Address

NATIONAL REGISTERED AGENTS, INC.
400 WEST MARKET STREET
SUITE 1800
LOUISVILLE, KY 40202

Current Officers

Assistant Treasurer	BRIAN K BARZE	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
Chairman	JAMES S HOLBROOK, JR	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
CEO	JAY W CARTER	800 Shades Creek Parkway, Suite 575 Birmingham, AL 35209
CFO	CONNER FRED WAGSTAFF, III	800 Shades Creek Parkway, Suite 550, Birmingham, AL 35209
President	JAY W CARTER	800 Shades Creek Parkway, Suite 575, Birmingham, AL 35209
Secretary	WILLIAM K HOLBROOK	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
Treasurer	CONNER FRED WAGSTAFF, III	800 Shades Creek Parkway, Suite 550, Birmingham, AL 35209

Directors

Director	C FRED WAGSTAFF, III	800 Shades Creek Parkway, Suite 550, Birmingham, AL 35209
Director	JAMES S. HOLBROOK, JR	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
Director	JAY W CARTER	800 Shades Creek Parkway, Suite 575, Birmingham, AL 35209
Director	WILLIAM K HOLBROOK	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
Director	BRIAN K BARZE	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209

Signatures

Signature	WILLIAM K HOLBROOK
Title	SECRETARY