

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
0546409
Alison Lundergan Grimes
KY Secretary of State
Received and Filed
5/27/2015 12:31:18 PM
Fee receipt: \$15.00

Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
Online Filing**

ARP

Company: STERNE AGEE FINANCIAL SERVICES, INC.
Company ID: 0546409
State of origin: Delaware
Formation date: 10/16/2002 12:00:00 AM
Date filed: 5/27/2015 12:31:18 PM
Fee: \$15.00

Principal Office

800 SHADES CREEK PKWY. SUITE 550
BIRMINGHAM, AL 35209

Registered Agent Name/Address

National Registered Agents, Inc.
306 W. MAIN STREET
SUITE 512
FRANKFORT, KY 40601

Current Officers

Chairman	ERIC D NEEDLEMAN	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209
CEO	JAY W CARTER	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209
CFO	CONNER FRED WAGSTAFF, III	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209
President	JAY W CARTER	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209
Treasurer	CONNER FRED WAGSTAFF, III	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209
Secretary	ROBERT F LAMKIN	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209

Directors

Director	CONNER FRED WAGSTAFF, III	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209
Director	JAY W CARTER	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209
Director	ERIC D NEEDLEMAN	800 Shades Creek Parkway, Suite 500, Birmingham, AL 35209

Signatures

Signature	CONNER FRED WAGSTAFF, III
Title	CFO