

**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

PARP

0462710  
Michael G. Adams  
KY Secretary of State  
Received and Filed  
6/5/2024 7:17:52 AM  
Fee receipt: \$15.00

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing  
For the Year 2024**

**ARP**

**Company:** CITIGROUP GLOBAL MARKETS, INC.  
**Company ID:** 0462710  
**State of origin:** New York  
**Formation date:** 9/28/1998 12:00:00 AM  
**Date filed:** 6/5/2024 7:16:52 AM  
**Fee:** \$15.00

**Principal Office**

388 GREENWICH ST  
NEW YORK, NY 10013

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

Officer	JULIE SCHMIDT	3800 CITIGROUP CENTER DRIVE, TAMPA, FL 33610
President	John Heppollette	388 GREENWICH STREET, NEW YORK, NY 10013
Secretary	ROBERT KLEIN	388 GREENWICH STREET, NEW YORK, NY 10013
Treasurer	Jason Mercado	388 GREENWICH STREET, NEW YORK, NY 10013

**Directors**

Director	JOHN CHIRICO	388 GREENWICH STREET, NEW YORK, NY 10013
Director	John Heppollette	388 GREENWICH STREET, NEW YORK, NY 10013
Director	STEPHANIE DHOUBI	388 GREENWICH STREET, NEW YORK, NY 10013
Director	Timothy Gately	388 Greenwich Street, New York, NY 10013
Director	Valentin Valderraban	388 Greenwich Street, New York, NY 10013

County:	Boone
Business size:	Large
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

**Signatures**

<b>Signature</b>	Julie Schmidt
<b>Title</b>	Assistant Tax Officer