Commonwealth of Kentucky Trey Grayson, Secretary of State

0480011 **Trey Grayson** Secretary of State Received and Filed

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Trey Grayson Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Web Filing

ARP

Company: SII INVESTMENTS, INC.

Company ID: 0480011 State of origin: Wisconsin

Formation date: 9/9/1999 12:00:00 AM date filed: 6/18/2009 10:58:45 AM

Fee: \$15.00

Principal Office

5555 GRANDE MARKET DRIVE APPLETON, WI 54913

Registered Agent Name/Address

C T CORPORATION SYSTEM 4169 WESTPORT ROAD LOUISVILLE, KY 40207

Current Officers

CFO 401 Wilshire Blvd, Suite 1100, Santa Monica, CA 90401 Maura Collins President

5555 Grande Market Dr, Appleton, WI 54913 Todd M Kinart 5555 Grande Market Dr, Appleton, WI 54913 Vice President James P Miller 1 Corporate Way, Lansing, MI 48951

Secretary Thomas J Meyer

Vice President Dawn M Kalinowski 5555 Grande Market Dr, Appleton, WI 54913

Directors

Director Thomas J Meyer 1 Corporate Way, Lansing, MI 48951

Director Todd M Kinart 5555 Grande Market Drive, Appleton, WI 54913 7601 Technology Way, Denver, CO 80237 Director James Livingston

Signatures

Signature Todd Kinart Title President/CEO