

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP  
**0480011**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
**6/25/2013 2:41:13 PM**  
Fee receipt: **\$15.00**

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** SII INVESTMENTS, INC.  
**Company ID:** 0480011  
**State of origin:** Wisconsin  
**Formation date:** 9/9/1999 12:00:00 AM  
**Date filed:** 6/25/2013 2:41:13 PM  
**Fee:** \$15.00

**Principal Office**

5555 GRANDE MARKET DRIVE  
APPLETON, WI 54913

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

CFO	Maura Collins	401 Wilshire Blvd, Suite 1100, Santa Monica, CA 90401
President	Todd M Kinart	5555 Grande Market Dr, Appleton, WI 54913
Secretary	Thomas J Meyer	1 Corporate Way, Lansing, MI 48951
Vice President	James P Miller	5555 Grande Market Dr, Appleton, WI 54913
Vice President	Dawn M Kalinowski	5555 Grande Market Dr, Appleton, WI 54913

**Directors**

Director	Thomas J Meyer	1 Corporate Way, Lansing, MI 48951
Director	Todd M Kinart	5555 Grande Market Drive, Appleton, WI 54913
Director	Clifford Jack	7601 Technology Way, Denver, CO 80237

**Signatures**

<b>Signature</b>	Kelly Webster
<b>Title</b>	Office Manager