# Commonwealth of Kentucky Alison Lundergan Grimes, Secretary of

0480011 Alison Lundergan Grimes **KY Secretary of State** Received and Filed

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Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

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Company: SII INVESTMENTS, INC.

Company ID: 0480011 State of origin: Wisconsin

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**Principal Office** 

5555 GRANDE MARKET DRIVE APPLETON, WI 54913

Registered Agent Name/Address

C T CORPORATION SYSTEM 306 W MAIN ST **SUITE 512** FRANKFORT, KY 40601

### **Current Officers**

Todd Kinart **CEO** 5555 W Grande Market Drive, Appleton, WI 54913 7601 Technology Way, Denver, CO 80237 CFO Maura Collins 5555 Grande Market Dr. Appleton, WI 54913 COO Dawn Kalinowski Todd Kinart 5555 Grande Market Dr, Appleton, WI 54913 President 5555 W Grande Marktet Drive, Appleton, WI 54913 Assistant Secretary Todd Kinart Assistant Secretary Gerry Gunderson 7601 Technology Way, Denver, CO 80237 Vice President James P Miller 5555 Grande Market Dr, Appleton, WI 54913 Vice President Gerry Gunderson 7601 Technology Way, Denver, CO 80237 Vice President Vanessa Lambrechts 12655 W. JEFFERSON BLVD, STE 03-173, LOS ANGELES, CA Vice President Scott Forbush 1 Corporate Way, Lansing, MI 48951 Vice President Ryan Armock 5555 Grande Market Dr, Appleton, WI 54913 Vice President Brian Carlson 5555 Grande Market Dr, Appleton, WI 54913 Kristan L Richardson 1 Corporate Way, Lansing, MI 48951 Secretary Vice President Maura Collins

7601 Technology Way, Denve,r CO 80237 5555 W Grande Market Drive, Appleton, WI 54913 Vice President Dawn Kalinowski

Vice President **Daniel Wright** 300 Innovation Drive, Franklin, TN 37067 100 North Sepulveda Blvd, El Segundo, CA 90245

Vice President Caren Coleman Vice President Aimee Rose Blinder 5555 W Grande Market Drive, Appleton, WI 54913

### **Directors**

Director Todd Kinart 5555 Grande Market Drive, Appleton, WI 54913 Director **Bradley O Harris** 300 Innovation Drive, Franklin, TN,37067 Director Steve H Dowden 12750 Citrus Park Lane, Ste 300, Tampa, FL 33625

#### **Signatures**

**Signature** KRISTAN L. RICHARDSON **Title SECRETARY**