

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

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Michael G. Adams
KY Secretary of State

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Michael G. Adams
Secretary of State
P. O. Box 718
Frankfort, KY 40602-0718
(502) 564-3490
<http://www.sos.ky.gov>

Certificate of Authority

RCA

Pursuant to the provisions of KRS 14A.9 - 030 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements.

1. The business entity is a profit corporation.
2. The name of the entity is: Kestra Financial Inc
3. The name of the entity to be used in Kentucky is (if applicable): Kestra Financial Inc
4. It is an entity organized and existing under the laws of the state of Texas.
5. The date of organization is 8/6/2014 and the period of duration is perpetual

Principal Office

1216 S Broadway
Lexington, KY 40504

Registered Agent Name/Address

CT Corporation System
306 W. Main Street, Suite 512
Frankfort, KY 40601

Current Officers

Officer	Joel Bennett	5707 Southwest Pkwy, Bldg 2, ste 400, Austin, TX 78735
Officer	Ann Manal	5707 Southwest Pkwy, Bldg 2, ste 400, Austin, TX 78735
Officer	Daphne Braddock	5707 Southwest Pkwy, Bldg 2, ste 400, Austin, TX 78735
Officer	Laura Powell	5707 Southwest Pkwy, Bldg 2, ste 400, Austin, TX 78735
Secretary	R. Bredt Norwood	5707 Southwest Pkwy, Bldg 2, ste 400, Austin, TX 78735
Vice President	Yin (Kelly) Yin	5707 Southwest Pkwy, Bldg 2, ste 400, Austin, TX 78735
Vice President	Jennette Schlinke	5707 Southwest Pkwy, Bldg 2, ste 400, Austin, TX 78735
Vice President	Shawn Shook	5707 Southwest Pkwy, Bldg 2, ste 400, Austin, TX 78735

6. Daphne Braddock, Officer, on 7/12/2024

7. I, CT Corporation System, consent to serve as the registered agent on behalf of the this entity on 7/12/2024