

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

LARP
0166012
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
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**Annual Report
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ARP

Company: LPL FINANCIAL LLC
Company ID: 0166012
State of origin: California
Formation date: 4/15/1982 12:00:00 AM
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Principal Office

9785 TOWNE CENTRE DR
SAN DIEGO, CA 92121

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W MAIN ST
SUITE 512
FRANKFORT, KY 40601

Members/Managers

Manager	Stephanie L. Brown	75 STATE STREET, 24TH FLOOR, BOSTON, MA 02109
Manager	Robert J. Moore	9785 Towne Centre Drive, San Diego, CA 92121
Manager	Esther M. Stearns	9785 Towne Centre Drive, San Diego, CA 92121
Manager	Mark S. Casady	75 STATE STREET, 24TH FLOOR, BOSTON, MA 02109
Manager	Robert J. Moore	9785 Towne Centre Drive, San Diego, CA 92121

Signatures

Signature	STEPHANIE L. BROWN
Title	MANAGING DIRECTOR