

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

LARP
0166012
Alison Lundergan Grimes
KY Secretary of State
Received and Filed
4/1/2014 9:56:51 AM
Fee receipt: \$15.00

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Secretary of State
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Annual Report
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ARP

Company: LPL FINANCIAL LLC
Company ID: 0166012
State of origin: California
Formation date: 4/15/1982 12:00:00 AM
Date filed: 4/1/2014 9:56:51 AM
Fee: \$15.00

Principal Office

4707 EXECUTIVE DRIVE
SAN DIEGO, CA 92121

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W MAIN ST
SUITE 512
FRANKFORT, KY 40601

Members/Managers

Manager	DAVID P BERGERS	75 STATE STREET, 24TH FLOOR, BOSTON, MA 02109
Manager	ROBERT J. MOORE	4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121
Manager	DAN H ARNOLD	4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121
Manager	MARK S CASADY	75 STATE STREET, 24TH FLOOR, BOSTON, MA 02109

Signatures

Signature	DAVID P BERGERS
Title	GENERAL COUNSEL