

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

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Alison Lundergan Grimes
KY Secretary of State
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Statement of Change of
Principal Office Address

POC

Pursuant to the provisions of KRS chapters 271B, 273, 275, or 362, the undersigned hereby applies to change the principal office on behalf of

LPL FINANCIAL LLC

which is organized in the state of California, and for that purpose submits the following statements:

1. Address of current principal office

9785 TOWNE CENTRE DR
SAN DIEGO, CA 92121

2. Principal office is hereby changed to:

4707 EXECUTIVE DRIVE
SAN DIEGO, CA 92121

3. Signature of officer or chairman of the board

GREGORY M WOODS, EXECUTIVE VICE
PRESIDENT, DEPUTY GENERAL COUNSEL

Signature and Title

Type or print name and title

4/1/2014 9:54 AM

Date