

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

LARP  
0166012  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
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ARP

**Company:** LPL FINANCIAL LLC  
**Company ID:** 0166012  
**State of origin:** California  
**Formation date:** 4/15/1982 12:00:00 AM  
**Date filed:** 5/8/2015 11:01:16 AM  
**Fee:** \$15.00

**Principal Office**

4707 EXECUTIVE DRIVE  
SAN DIEGO, CA 92121

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Members/Managers**

Manager	DAVID P BERGERS	75 STATE STREET, 24TH FLOOR, BOSTON, MA 02109
Manager	THOMAS LUX	4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121
Manager	DAN H ARNOLD	4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121
Manager	MARK S CASADY	75 STATE STREET, 24TH FLOOR, BOSTON, MA 02109

**Signatures**

<b>Signature</b>	DAVID P BERGERS
<b>Title</b>	MANAGER/GENERAL COUNSEL