

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

LARP  
0166012  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** LPL FINANCIAL LLC  
**Company ID:** 0166012  
**State of origin:** California  
**Formation date:** 4/15/1982 12:00:00 AM  
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**Fee:** \$15.00

**Principal Office**

4707 EXECUTIVE DRIVE  
SAN DIEGO, CA 92121

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Members/Managers**

Manager	DAVID P BERGERS	75 STATE STREET, 22ND FLOOR, BOSTON, MA 02109
Manager	DAN H ARNOLD	4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121
Manager	MARK S CASADY	75 STATE STREET, 22ND FLOOR, BOSTON, MA 02109

**Signatures**

<b>Signature</b>	DAVID P BERGERS
<b>Title</b>	GENERAL COUNSEL