LARP

Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

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Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

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ARP

Company: LPL FINANCIAL LLC

Company ID: 0166012 State of origin: California

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Principal Office

4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121

Registered Agent Name/Address

C T CORPORATION SYSTEM 306 W MAIN ST SUITE 512 FRANKFORT, KY 40601

Members/Managers

ManagerDAVID P BERGERS75 STATE STREET, 22ND FLOOR, BOSTON, MA 02109ManagerDAN H ARNOLD4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121ManagerMATTHEW J AUDETTE4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121

Signatures

Signature DAVID P BERGERS

Title MANAGER/GENERAL COUNSEL