LARP

Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

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Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing

ARP

Company: LPL FINANCIAL LLC

Company ID: 0166012
State of origin: California

Formation date: 4/15/1982 12:00:00 AM Date filed: 5/24/2018 10:28:09 AM

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Principal Office

4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121

Registered Agent Name/Address

C T CORPORATION SYSTEM 306 W MAIN ST SUITE 512 FRANKFORT, KY 40601

Members/Managers

Manager MICHELLE B OROSCHAKOFF 4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121
Manager DAN H ARNOLD 4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121
Manager MATTHEW J AUDETTE 4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121
Manager THOMAS GOOLEY 4707 EXECUTIVE DRIVE, SAN DIEGO, CA 92121
Manager GREGORY M WOODS 75 STATE STREET, 22ND FLOOR BOSTON, MA 02109

Business type: Security & Commodity Brokers, Dealers, Exchanges & Services

Signatures

Signature GREGORY M WOODS
Title MANAGER/SECRETARY