

Commonwealth of Kentucky
Trey Grayson, Secretary of State

PARP

0166012

Trey Grayson
Secretary of State
Received and Filed

6/5/2009 1:12:09 PM

Fee receipt: \$15.00

Trey Grayson
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
Web Filing

ARP

Company: LPL FINANCIAL CORPORATION
Company ID: 0166012
State of origin: California
Formation date: 4/15/1982 12:00:00 AM
date filed: 6/5/2009 1:12:09 PM
Fee: \$15.00

Principal Office

9785 TOWNE CENTRE DR
SAN DIEGO, CA 92121

Registered Agent Name/Address

C T CORPORATION SYSTEM
4169 WESTPORT ROAD
LOUISVILLE, KY 40207

Current Officers

CEO	Mark S. Casady	One Beacon Street, 22nd Floor, MA, 02108, USA
CFO	Robert J. Moore	9785 Towne Centre Drive, CA, 92121, USA
Treasurer	Robert J. Moore	9785 Towne Centre Drive, CA, 92121, USA
Secretary	Stephanie L. Brown	One Beacon Street, 22nd Floor, MA, 02108, USA
President	Esther M. Stearns	9785 Towne Centre Drive, CA, 92121, USA

Directors

Director	Robert J. Moore	9785 Towne Centre Drive, CA, 92121, USA
Director	Mark S. Casady	One Beacon Street, 22nd Floor, MA, 02108, USA
Director	Esther M. Stearns	9785 Towne Centre Drive, CA, 92121, USA

Signatures

Signature	Stephanie L. Brown
Title	Secretary